

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
09857-092001Application No.  
10/077,182**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Steven M. Bloom et al.Filing Date  
February 15, 2002Group Art Unit  
3624**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AH	WO 10/72106	10-4-01					
	AI							
	AJ							
	AK							
	AL							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
SC	AM	Olienik et al., "Using World Equity Benchmark Shares to Achieve International Diversification," Journal of Financial Planning, v13n6, pp: 98-113, June 2000.
SC	AN	"iShares, Inc. Announces Results of Special Shareholders' Meetings", Business Wire, July 3, 2000.
SC	AO	"iShares, Inc. Announces Estimated Tax Characteristics of August 31, 2000 Distributions", Business Wire, 2512, Sept. 7, 2000.
SC	AP	"iShares, Inc. Announces Launch of Its New EMU Fund and 'Non-Diversified' Classification of the EMU Fund", Business Wire, 2703, July 27, 2000.
SC	AQ	"Barclays Global Investors Continues Expansion of the Largest Worldwide Marketplace of Exchange Traded Funds; New iShares for all of Europe in one investment", Business Wire, 2322, July 27, 2000.
SC	AR	"Barclays Global Investors Begins Expansion of Exchange Traded Fund Offering; iShares Provide the Best of Stocks and Index Funds in One Investment", Business Wire, May 18, 2000.
SC	AS	"Barclays Global Investors Launches New Exchange Traded Fund Tracking Taiwan: iShares MSCI Taiwan is 19 <sup>th</sup> MSCI Country Managed by BGI", Business Wire, 0162, June 22, 2000.

Examiner Signature

*Dawn Armitage*

Date Considered

7/14/07

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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SC	AT	Malkiel, Burton, G., "Investors Shouldn't Fear 'Spiders' --- Exchange-traded funds have some unique advantages and deserve a respected place in the menu of index products." The Asian Wall Street Journal: New York: 05 June 2000, p. 8 (full text) ProQuest (online) (retrieved 16-08-2002).
SC	AU	Nasdaq 100 Trust Series 1 Prospectus June 30, 2000
SC	AV	Nasdaq 100 Index Tracking stock 9/12/00
	AW	PC1 Search Report US 02-35053

Examiner Signature: <i>Dana Armitage</i>	Date Considered: 7/14/07
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